

MKHAMBATHINI MUNICIPALITY



PROCESS PLAN FOR THE 2024/2025 IDP/BUDGET AND PMS REVIEW

MKHAMBATHINI LOCAL MUNICIPALITY IDP, BUDGET & PMS PROCESS PLAN

In terms of

The Municipal Systems Act, Act 32 of 2000 and the Municipal Financial Management Act, Act 56 of 2003

Contact details:

Office of the Municipal Manager

Mkhambathini Municipality

Private Bag X04, Camperdown, 3720

Tel. 031-785-9300, Fax 031-785-2121

Email: Idp@mkhambathini.gov.za

TABLE OF CONTENTS

| | |
|---|-------------------------------------|
| 1. ACRONYMS | 4 |
| 2. SECTION 1: INTRODUCTION | 5 |
| LEGISLATIVE REQUIREMENT / LEGAL CONTEXT | 8 |
| <i>IDP Key Binding Legislation</i> | 8 |
| <i>Planning and Development Binding Legislations</i> | 9 |
| <i>Planning and Development Policies and Strategies</i> | 10 |
| KEY ELEMENTS OF IDP DEVELOPMENT | 11 |
| 3. SECTION 2: ORGANISATIONAL ARRANGEMENT | 12 |
| INTERNAL STRUCTURES AND ROLE PLAYERS | 12 |
| ROLE AND RESPONSIBILITIES | 12 |
| The Mayor | 12 |
| The Municipal Council | 13 |
| The Municipal Manager (IDP Officer/Director-Planning) | 13 |
| The Executive Committee | 14 |
| STRATEGIC PLANNING SESSIONS | 14 |
| Municipal Ward Councilors | 14 |
| The IDP Steering Committee | 14 |
| The Municipal Officials | 15 |
| new timelines with regard to the Local Government Election | Error! Bookmark not defined. |
| 4. SECTION 3: IDP EXTERNAL ROLE PLAYERS | 17 |
| EXTERNAL STRUCTURES AND ROLE PLAYERS | 17 |
| ROLES AND RESPONSIBILITIES | 17 |
| The IDP Representative Forum | 17 |
| uMgungundlovu District Municipality IDP Steering Committee | 18 |
| DISTRICT INTER GOVERNMENTAL RELATIONS | 19 |
| DISTRICT TECHNICAL COORDINATING COMMITTEE (MMS FORUM) | 22 |
| DISTRICT MAYORS COORDINATING COMMITTEE (MAYORS' FORUM) AND SPEAKERS FORUM | 23 |
| THE DEVELOPMENT OF THE UMGUNGUNDLOVU ONE PLAN | 27 |
| THE UMGUNGUNDLOVU DDM PROFILE | 27 |
| THE DEVELOPMENT OF THE FIRST GENERATION ONE PLAN | 27 |
| Incorporation of projects and commitments of sector departments into 5 th Generation IDP | 29 |
| MKHAMBATHINI LED STRATEGY DEVELOPMENT AND REVIEW PROCESS | 35 |
| District Planners and Led Forum (PLED) | 35 |
| Department of Cooperative Government and Traditional Affairs | 35 |
| Traditional Councils | 35 |
| Ward Committees | 35 |
| Sector Departments | 36 |
| 5. SECTION 4: MECHANISM FOR PUBLIC PARTICIPATION | 37 |
| PUBLIC PARTICIPATION EXISTING STRUCTURES | 37 |
| IDP Representative Forum (IDP RF) | 37 |
| Mkhambathini IDP/Budget Roadshows | 37 |
| Ward Committees and Community Development Workers | 37 |
| Traditional Authorities | 38 |
| PUBLIC PARTICIPATION METHODS | 38 |
| Newspaper Advertisement | 38 |
| Radio Slots | 38 |
| Municipal Website | 38 |
| Municipal Notice Board | 38 |
| IZIMBIZO/PUBLIC PARTICIPATION Road Shows | 38 |
| Information Sheet/ Brochures/ Pamphlets | 38 |
| 6. SECTION 5: ALIGNMENT OF THE IDP AND BUDGET PREPARATION PROCESS, AND THE PERFORMANCE MANAGEMENT SYSTEM (PMS) | 39 |
| Alignment between IDP, Budget and PMS | 39 |
| LINKAGES BETWEEN IDP, BUDGET AND PMS | 39 |
| 7. SECTION 6: IDP DEVELOPMENT PROCESS | 40 |
| Alignment between IDP, Budget and PMS | 40 |

| | |
|---|-----------|
| ASSESSMENT ISSUES | 40 |
| REVIEW OF THE STRATEGIC ELEMENTS OF THE IDP IN TERMS OF COUNCIL'S NEW PRIORITIES | 40 |
| INCLUSION OF NEW INFORMATION WHERE NECESSARY | 40 |
| LINK OF THE IDP PROCESS WITH OPMS AND BUDGET ACTIVITIES | 41 |
| 7. ALIGNMENT MECHANISMS | 48 |
| VERTICAL ALIGNMENT | 48 |
| <i>National Government Departments</i> | 48 |
| HORIZONTAL ALIGNMENT | 48 |
| ALIGNMENT AT LOCAL LEVEL | 48 |
| ALIGNMENT WITH SERVICE PROVIDERS | 48 |
| 8. COST ESTIMATES | 49 |
| ESTIMATED COST BREAKDOWN | 49 |
| IDP AND SDF PREPARATION | 50 |

LIST OF FIGURES

| | |
|--|------------------------------|
| FIGURE 1: THE IDP, BUDGET AND PMS LINKAGES | ERROR! BOOKMARK NOT DEFINED. |
| FIGURE 2: ESTIMATED BUDGET | 49 |

LIST OF TABLES

| | |
|--|------------------------------|
| TABLE 1: IDP - PMS – BUDGET LINKAGES WITH TIMEFRAMES (AS PER MFMA) | 41 |
| TABLE 2: IDP, BUDGET PREPARATION AND PMS ACTION PLAN | ERROR! BOOKMARK NOT DEFINED. |

1. ACRONYMS

| | |
|----------|--|
| AG | : Auditor General |
| AR | : Annual Report |
| B2B | : Local Government Back to Basics Strategy |
| BSC | : Budget Steering Committee |
| C/WBP | : Community/Ward Based Plans |
| CBO | : Community Based Organization |
| CDW | : Community Development Worker |
| CoGTA | : KZN Department of Cooperative Governance & Traditional Affairs |
| COP 17 | : Conference of Parties 17 |
| CPF | : Community Policing Forum |
| DEPT. | : Department |
| DGDP | : District Growth Development Plan |
| DPSS | : Development Planning Shared Services |
| EXCO | : Executive Council |
| GIS | : Geographic Information System |
| HSP | : Housing Sector Plan |
| IDP | : Integrated Development Planning |
| IDP RF | : Integrated Development Planning Representative Forum |
| IDP TSC | : Integrated Development Planning Technical Steering Committee |
| UMDM | : uMgungundlovu District Municipality |
| LED | : Local Economic Development |
| LM | : Local Municipality |
| MANCO | : Management Committee |
| MEC | : Member of the Executive Committee |
| MFMA | : Municipal Finance Management Act |
| MM | : Municipal Manager |
| MSA | : Municipal Systems Act, 2000 |
| mSCOA | : Municipal Standard Chart of Accounts |
| MTREF | : Medium Term Revenue and Expenditure Framework |
| NDP | : National Development Plan |
| NDPs | : Nodal Development Plans |
| NGO | : Non-Governmental Organization |
| (O)PMS | : (Organizational) Performance Management System |
| OSS | : Operation Sukuma Sakhe (War on Poverty) |
| PC | : Portfolio Committee |
| PED | : Planning and Economic Development |
| PGDS | : Provincial Growth Development Strategy |
| PMS | : Performance Management Systems |
| PSC | : Project Steering Committee |
| PT | : Provincial Treasury (KZN) |
| RET | : Radical Economic Transformation |
| S54 / 56 | : Senior Management Employed in terms of this section on the act |
| SCM | : Supply Chain Management |
| SDBIP | : Service Delivery and Budget Implementation Plan |
| SDF | : Spatial Development Framework |
| SODA | : State of the District Address |
| SONA | : State of the Nation Address |
| SOPA | : State of the Province Address # |
| SPLUMA | : Spatial Planning and Land Use Management Act |
| TSC | : Technical Steering Committee |
| WC(M) | : Ward Committee (Member) |
| WR | : War Room |

2. SECTION 1: INTRODUCTION

THE INTEGRATED DEVELOPMENT PLANNING PROCESS PLAN

What is the Purpose and function thereof?

According to the KZN COGTA IDP Guidelines, a Process Plan is a plan about planning for planning. It is a short rationale for the preparation process, explaining what needs to be prepared and why. It is typically a business plan with the inclusion of the roles and responsibilities which have to be clarified in advance and internal human resources have to be allocated accordingly. Organisational arrangements have to be established and decisions on the membership of teams, committees or forums have to be made. A programme needs to be worked out which sets out the envisaged planning activities, a time frame and the resource requirements for the planning process. Such a detailed programme of the planning process is crucial to keep track and to interact with other role players. Special attention has to be paid to deciding on mechanisms and procedures for community and stakeholder participation during the planning process: Who has to be involved, consulted and informed in which stage of the process by which means. This has to be decided in advance in order to inform people in time and to allocate required resources in time. The same is true with regard to mechanisms and procedures of alignment with external stakeholders such as other municipalities, districts, and other spheres of government. Such alignment activities have to be decided on a mutually binding basis, through a joint process for the interactive planning process, which requires preparation well in advance.

The Integrated Development Planning process is a continuous cycle of planning, implementation, formulation, and review. It is a process through which municipalities prepare strategic development plans for a five-year period. An IDP is one of the key tools for Local Government to cope with its new developmental role and seeks to arrive at decisions on issues such as municipal budgets, land management, promotion of local economic development, and institutional transformation in a consultative, systematic and strategic manner. All municipalities are expected to formulate their Integrated Development Plans (IDP) and be reviewed annually (financial year). The Mkhambathini Municipality is now engaging in the 2024-2025 Integrated Development Plan Review in accordance with requirements set out in the Municipal Systems Act (32 of 2000) and Local Government Municipal Planning and Performance Management Regulations (2001).

The IDP implementation programme is monitored to identify if the Organizational Objectives, Key Performance Indicators and Targets are being achieved. Monitoring also involves gathering of information on changing circumstances in different situations such as:

- Baseline data and demographics,
- New policy legislation,
- Corporate development,
- Sector departments,
- New investments opportunities,
- Sector development plans,

- Changes in the existing situation due to unexpected events such as natural disasters which are related to Covid-19
- Input from stakeholder organizations and constituencies,
- Budget information from other spheres of government as well as municipal budget review process,
- More or improved in-depth information about new developments and trends.

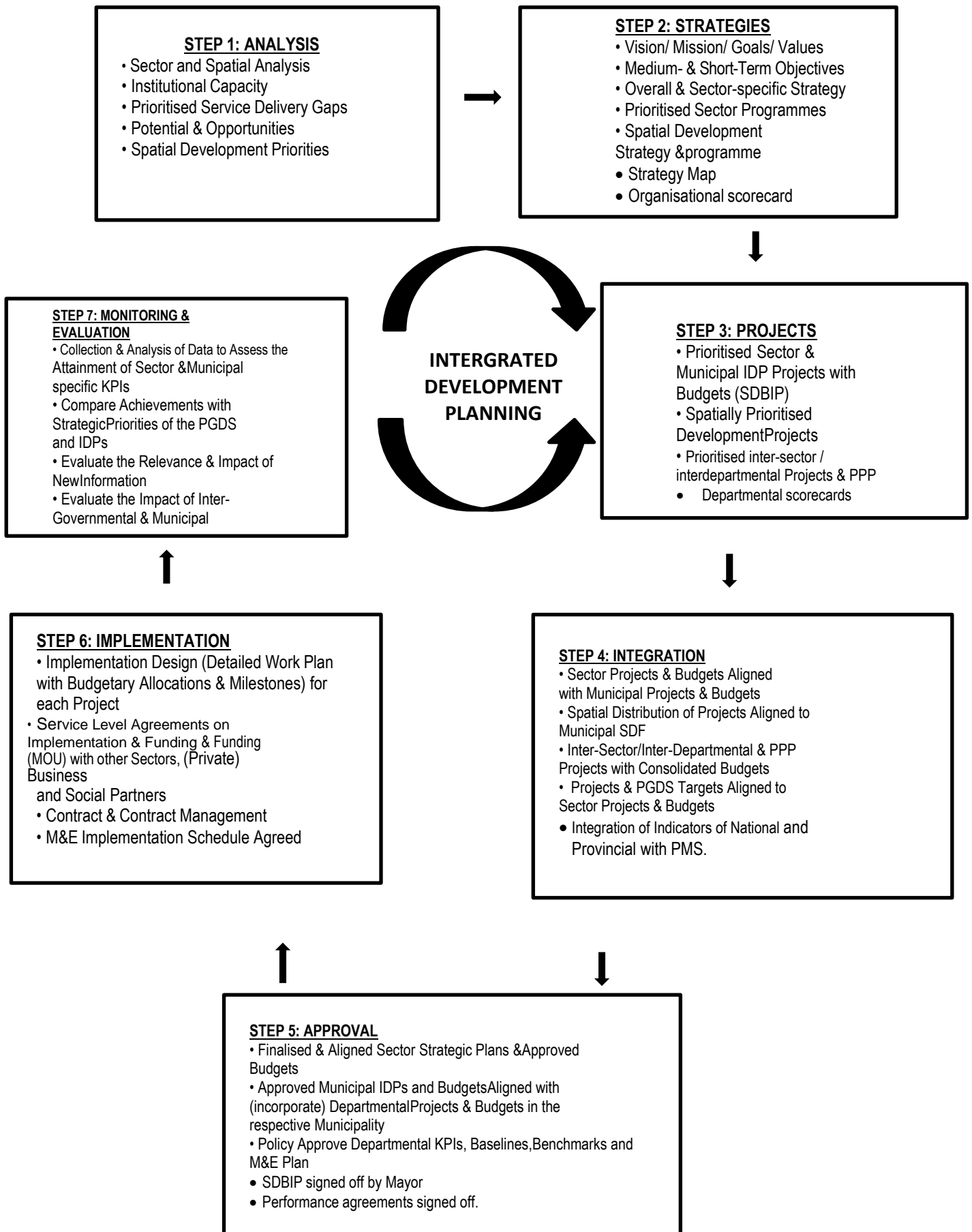
This kind of information is normally kept by the Municipal Manager or designated official throughout the year and is evaluated during the IDP review process of its relevance to the review process.

To ensure certain minimum quality standards of the Integrated Development Plan (IDP), and a proper coordination between and within spheres of government, the preparation of the process plan has been regulated in the Municipal Systems Act (32 of 2000). The preparation of the process plan, which is the IDP process set out in writing, requires the adoption by Council.

This plan must include the following:

- A programme specifying the timeframes for the different planning activities steps
- Appropriate mechanisms, processes and procedures for consultation and participation of local communities, organ of state, traditional authorities, and other role players in the IDP drafting process
- An indication of the organizational arrangements for the IDP process
- Binding plans and planning requirements, *i.e.* policy and legislation; and
- Mechanisms and procedures for vertical and horizontal alignment.

FIGURE: INTERGRATED DEVELOPMENT PLANNING



LEGISLATIVE REQUIREMENT / LEGAL CONTEXT

IDP KEY BINDING LEGISLATION

The preparation of an IDP Process Plan is referred to in Chapter 5, Section 28 of the Municipal Systems Act, Act 32 of 2000 as follows:

Adoption of a process-

- (1) *Each municipal council, within a prescribed period after the start of its elected term, must adopt a process set out in writing to guide the planning, drafting and adoption and review of its integrated development plan.*
- (2) *The municipality must through appropriate mechanisms, processes and procedures established in terms of Chapter 4, consult the local community before adopting the process*
- (3) *A municipality must give notice to the local community of particulars of the process it intends to follow.*

In terms of the core components of the integrated development plans, Section 25 of the Municipal Systems Act (32 of 2000) indicates that:

“An integrated development plan must reflect:

- The municipal council’s vision for long term development of the municipality with special emphasis on the municipality’s most critical development and internal transformation needs
- An assessment of the existing level of development in the municipality, which must include an identification of communities which do not have access to basic municipal services
- The council’s development priorities and objectives for its elected term, including its local economic development aims and its internal transformation needs.
- The council’s development strategies which must be aligned with any national and provincial sectoral plans and planning requirements binding on the municipality in terms of legislation.
- A spatial development framework which must include the provision of basic guidelines for a land use management system for the municipality.
- The council’s operational strategies.
- Applicable disaster management plans.
- A financial plan, which must include a budget projection for at least the next three years; and
- The key performance indicators and performance targets determined in terms of section 41”.

Moreover and [also] in view of the foregoing, Section 28 (1) of the Municipal Systems Act (32 of 2000) stipulates that:

- “Each municipal council, within a prescribed period after the start of its elected term, must adopt a process set out in writing to guide the planning, drafting, adoption and review of its integrated development plan.
- The municipality must through appropriate mechanisms, processes and procedures established in terms of Chapter 4 of the Municipal Systems Act (32 of 2000), consult the community before the adopting the process; and

- A municipality must [also] give notice to the local community of particulars of the process it intends to follow”.

The Local Government: Municipal Planning and Performance Management Regulation 2001, provides elaborately on the contents of the IDP and the processes the Municipality must subject the IDP process into when doing its development or review. The alignment of IDP and preparation of Annual Budget is regulated in terms of Chapter 4 Section 21 of Municipal Finance Management Act 56 of 2003, therefore it's very crucial that the IDP and Budget are linked as per the legislative requirement.

The Annual Budget

The Annual Budget and the IDP are inseparably linked to one another, something that has been formalised through the promulgation of the Municipal Finance Management Act No. 56 (2003). Chapter 4, Section 21(1) of the Municipal Finance Management Act (MFMA) indicates that:

“The Mayor of a municipality must: -

- a) *At least 10 months before the start of the budget year, table in the Municipal Council a time schedule outlining key deadlines for:

 - i. *The preparation, tabling, and approval of the annual budget.*
 - ii. *The annual review of:

 - aa) *The integrated development plan in terms of Section 34 of the Municipal Systems Act; and*
 - bb) *The budget related policies.**
 - iii. *The tabling and adoption of any amendments to the integrated development plan and the budget-related policies; and*
 - iv. *The consultative processes forming part of the processes referred to in subparagraphs (i), (ii) and (iii).**

The IDP process should proceed within the context of all applicable legislation, policies, and development planning requirements. The impact of the pieces of legislation on the IDP must be considered.

PLANNING AND DEVELOPMENT BINDING LEGISLATIONS

- | | |
|--|---|
| <ul style="list-style-type: none"> • The Constitution of the Republic of South Africa (Act No. 108 of 1996) • Municipal Systems Act, 2000 (Act No. 32 of 2000) • Municipal Structures Act, 1998 (Act No. 117 of 1998) • Municipal Structures Amendment Act, 2000 (Act No. 33 of 2000) • KwaZulu Natal Planning and Development Act, 2008 (Act No. 6 of 2008) • Local Government Transitional Act | <ul style="list-style-type: none"> • Municipal Finance Management Act • Municipal Property Rates Act • Local Authorities Ordinance • Development Facilitation Act, 1995 (Act No. 67 of 1995) • Town Planning and Township Ordinance 1949 as amended • Water Services Act, 1997 (Act No. 108 of 1997) • National Environmental Management Act, 1998 (Act No. of 1998) |
|--|---|

- | | |
|--|--|
| <ul style="list-style-type: none"> • Ingonyama Trust Act, 1994 (Act No. 3 of 1994) • National Land Transport Transition Act, (Act No. 22 of 2000) • National Housing Act, 1997 (Act No. 107 of 1997) • Ingonyama Trust Amendment Act, 1997 (Act No. 9 of 1997) • Kwazulu-Natal Provincial Roads Act | <ul style="list-style-type: none"> • Disaster Management Act • Amended Disaster Management Act • Division of Revenue of 2001 • Planning and Development Act, 2008 (Act No. 6 of 2008) • Spatial Planning and Land Use Management Act, 2013 (Act No. 16 of 2013) |
|--|--|

PLANNING AND DEVELOPMENT POLICIES AND STRATEGIES

- UN Sustainable Development Goals
- National Development Plan
- State of the Nation Address
- Outcomes 14
- Provincial Development Spatial Framework
- National Development Spatial Framework
- State of the Province Address
- District Growth Development Strategy /District IDP Framework Plan
- State of the District Address
- DDM (District Profile and One Plan One Budget)
- Municipal Strategic Planning Sessions
- Local Government Back to Basics Strategy
- Operation Sukuma Sakhe
- Mayoral Budget Speech and State of the Municipality Address
- Spatial Planning and Land Use Management Bill
- Sustainable Growth and Development Strategy
- Provincial Growth and Development Strategy (PGDS)
- Provincial Growth and Development Plan (PGDP)

KEY ELEMENTS OF IDP DEVELOPMENT

Notwithstanding the statutory imperative, it is necessary for Mkhambathini Municipality to develop its IDP to:

- Ensure the IDP's relevance as the municipality's strategic plan.
- Inform other components of the municipal business processes, including institutional and financial planning and budgeting.
- Inform the cyclical inter-governmental planning and budget processes.

In the IDP Review cycle, changes to the IDP may be required from these main sources:

- Comments from the Draft IDP Assessment Sessions.
- Comments from the MEC for Co-operative Governance and Traditional Affairs (COGTA).
- Comments from the Auditor-General in respect of the previous Audit Report if any.
- Comments from the Internal Audit Committee if there are any.
- Municipal Self-Assessment.
- New Council Priorities.
- Outcomes Based Approach (Cabinet Lekgotla Resolutions, District Lekgotla Resolutions, OPMS, State of the Nation Address, State of the Province Address, Sustainable Development Goals (SDGs), NDP, NSDP, 14 National Outcomes, PGDS, PSEDS, PGDP, DGDP goals and objectives, IUDF, Back-to-Basics and Climate Change)
- Alignment of the IDP with both the Provincial and National Policies and Programmes (*i.e.* KPA's)
- The Operation Sukuma Sakhe / War on Poverty and War Rooms.
- Covid-19 Support Measures , Climate Change and Natural Disaster
- Incorporation of the most recent Descriptive Data.
- Review and refinement of the Objectives and Strategies.
- Review and refinement of the projects and its status.
- Amendments in response to changing circumstances, and
- Improving the IDP process and content in terms of:
 - The SDF, with Capital Investment Framework, to ensure that the IDP and SDF Preparation Processes are adequately aligned.
 - SPLUMA implementation requirements, and
 - Alignment of Ward Based Plans (WBPs) to the IDP.

The significant development, which in all probabilities will have a huge impact on the IDP, is the Community Based Planning. The Community Based Planning is a planning instrument, which is geared towards the enhancement of the community participation component of the IDP.

3. SECTION 2: ORGANISATIONAL ARRANGEMENT

The involvement and participation of the internal role-players is crucial to the accomplishment of the Mkhambathini IDP participatory Review Process. The Structures will guide the IDP Process within the Mkhambathini Municipal Area.

INTERNAL STRUCTURES AND ROLE PLAYERS

- The Mayor
- Municipal Council
- Municipal Manager / Director: Technical
- Executive Committee
- Management Committee (MANCO)
- All Municipal Councillors
- The IDP Steering Committee
- Budget Steering Committee
- Mkhambathini LM IDP Representative Forum
- The Municipal Officials

ROLE AND RESPONSIBILITIES

THE MAYOR

The mayor is the leader of the council and has a number of functions, power and responsibilities assigned by legislation, in terms of section 56 of the Municipal Structures Act and Chapter 7 of the MFMA, as well by resolutions of Council passed from time to time to allocate specific responsibilities to the Executive Mayor. Provided that the legislation permits sub-delegation, or Council has authorized the power to sub-delegate in respect of Council delegations of authority made to the Executive Mayor, the Executive Mayor may sub-delegate such functions to the Mayoral Committee members of Standing Committees.

A summary of the powers and functions of the Executive Mayor assigned in terms of Section 56 of the Municipal Systems Act, are as follows:

- Identify the needs of the municipality and recommend to Council strategies, programmes and services to address such needs.
- Identify and develop criteria for the evaluation of strategies, programmes and services implemented to address needs of the municipality.
- Evaluate progress against key performance indicators.
- Review the performance of the municipality in terms of its:
 - Economy, efficiency, and effectiveness.
 - Credit control and debt collection efficiency.
 - Monitor the management of administration.
- Oversee the sustainable provision of services to communities.
- Perform duties and exercises powers as delegated by council.

- Reports annually on the involvement of communities and community organizations in the affairs of the municipality.
- Such reports must be presented at institutional political forum to ensure ownership of the reports by the executive.
- Gives attention to the public views and report on the effect of consultation on the decisions of council.
- Decides on the Process Plan and make firm recommendations to Council, and
- Chair meetings of IDP Forum.

THE MUNICIPAL COUNCIL

Mkhambathini Municipal Council is responsible for the following:

- Adoption of the IDP Process Plan
- Adoption and approval of the reviewed IDP
- Amendment of the IDP in accordance with the comments by sector departments and MEC
- Approval of the various review phases
- Ensuring that the IDP is linked to the PMS and Municipal Budget.

THE MUNICIPAL MANAGER (IDP OFFICER)

The Mayor, Executive Committee manages the Drafting of the IDP. Part of that duty is the assignment of responsibilities to the municipal manager. Section 30 clearly identifies the two primary actors in the IDP management. The executive mayor/executive committee or the IDP committee is under a legal duty to 'manage the IDP process'. Duties can only be assigned to the municipal manager. The municipal manager acts within the scope of these assignments.

The roles and responsibilities assigned to the MM / (IDP Officer):

- Responsible for the preparation of the IDP Process Plan
- Management and Co-ordination of the IDP process
- Ensure that there's vertical and horizontal alignment.
- Ensuring all stakeholders are informed of the process and their involvement.
- Create a conducive environment for public participation.
- Responsible for the day-to-day management of the IDP review process and the allocation of resources, time, people, thereby ensuring:
- Involvement of all different role-players, especially councilors and officials.
- The allocation of the roles to officials,
- Conditions for participation are conducive,
- That the timeframes are adhered to in accordance with the stipulated timeframes,
- That the participatory, strategic, implementation oriented, and sector planning requirements are compiled with,
- That information is gathered, collated, and evaluated and properly documented,
- That the information obtained receives attention during the IDP process,
- That the review process is horizontally and vertically aligned and complies with national and provincial requirements,

- Responsible for the chairing of the IDP Steering Committee,
- Ensuring that the MEC's comments are attended to and form part of the IDP review process.

THE EXECUTIVE COMMITTEE

The Executive Committee has the following responsibilities:

- Recommend to Council the adoption of the IDP Process Plan and reviewed IDP.
- Overall management of the IDP Review process
- Monitoring the IDP review process.

STRATEGIC PLANNING SESSIONS

These will be convened on a need's basis in consultation with the Office of the Mayor through the office of the Municipal Manager who is custodian of the strategic planning function in Mkhambathini Local Municipality. Dates to be confirmed.

MUNICIPAL WARD COUNCILLOR'S

Ward Councillors are an important link between the municipality and the constituents. They are the first to know of any community needs or service delivery gaps. The Councillors are also responsible for:

- Forwarding this information to the municipal officials.
- Organizing community meetings and ensuring maximum participation of residents in the idp review process.
- Link the planning process to their wards and ward base plans
- Assist in the organizing of public consultation and participation engagements.
- Assisting with the Covid-19, new pandemic and KZN Floods support measures that are pronounced in public consultation and public participation engagements
- Ensure that the annual municipal budget and business plans are linked to and based on the IDP.

THE IDP STEERING COMMITTEE

The IDP Steering Committee must be established during the IDP process, and it must continue performing its functions during the IDP review process. It is a technical working group made up of senior officials and relevant officials to support the Director: Planning and ensure a smooth review process. The Director: Planning can delegate functions to the Committee members. The Municipal Manager/Director: Planning shall chair the IDP Steering Committee, and the secretarial duties performed by the municipal officials of Mkhambathini Local Municipality.

The roles and responsibilities assigned to the IDP Steering Committee:

- Ensuring the gathering and collating of information while the IDP implementation is proceeding
- Support the of the Municipal Manager in the management and co-ordination of the IDP
- Discussion of input and information for the IDP review
- Ensuring the monitoring and evaluation of the gathered information
- Attending to MEC's comments.
- To act as a secretariat for the IDP Representative Forum

- To ensure alignment at a district and local level,
- To support the IDP Officer,
- To support and advise the IDP Representative Forum on technical issues,
- To make content recommendations,
- To prepare, facilitate and document meetings,
- To commission relevant and appropriate research studies during the IDP process,
- To consider and comment on the inputs the consultants, study teams, task departments and service providers,
- Processing, summarizing and documentation of project outputs, and,
- To ensure all stakeholders are included in the IDP Representative Forum.

The Composition of the IDP/Budget Steering Committee will be as follows.

| | | | |
|----------------------------|----------------------------------|---------------|------------------|
| Chairperson | : Hon. Mayor | - | Cllr NW Ntombela |
| Secretariat | : IDP Officer | - | Ms Z Magini |
| Members | : Municipal Manager | - | Mr S Mngwengwe |
| | : Chief Financial Officer | - | Mr TE Gambu |
| | : Dir. Corporate Services | - | Ms P Ngubane |
| | : Dir. Technical Services | - | Mr GS Mkhize |
| | : Dir. Community Services | - | Ms NS Mkhize |
| | : Political Support Manager | - | Ms L Mabude |
| | : Finance Manager | - | Mr S Magcaba |
| | : Budget Accountant | - | Ms N Ngubane |
| | : Human Resources Manager | - | Ms P Ndlovu |
| | : SCM Manager | - | Mr MS Dlamini |
| | : Manager Planning & Development | - | Mrs E Donaldson |
| | : Manager PMU | - | Mr. TSG Zulu |
| | : Communications Officer | - | Mrs BK Mthiyane |
| | : Public Participation Manager | - | Mr M Ngcongco |
| | : PMS Officer | - | Mrs TP Moses |
| : Waste Management Officer | - | Ms K Matiwane | |
| : Manager LED | - | Mrs PL Ngcobo | |
| : Town Planner | - | Ms Z Mbonambi | |

The IDP Steering Committee has no decision-making powers, but act as an advisory body to the IDP Representative Forum.

THE MUNICIPAL OFFICIALS

The municipal officials are responsible for the implementation of the IDP and in the process gather information on any changes in the circumstances. They must provide budgetary information and any information on the performance evaluation. They provide technical expertise during the planning process. Municipal Officials also interact with the Ward Councillors and Ward Committees and provide guidance and advice that is crucial during the IDP process.

MKHAMBATHINI IDP REPRESENTATIVE FORUM

This is the structure, which institutionalises and ensures a participatory IDP review process. It represents the interests of the constituents of the municipality in the review process. It is envisaged that all organisations, stakeholders, or interest groups are represented in the forum. The IDP Representative Forum will meet according to the action programme and as when there is a need.

The roles and responsibilities assigned to the IDP Representative Forum:

- To monitor performance and implementation of the IDP,
- To ensure alignment takes place at the various levels,
- To represent the interest of the constituents in the IDP process,
- To provide input on new strategies and discuss changes to circumstances,
- To provide a forum and a mechanism for discussion, debate and agreement on development planning and progress on the IDP implementation,
- To ensure communication between all the stakeholders in the IDP process.
- Recommend reports for approval / adoption
- Representing interests of the constituents
- Present a forum for communication and participation for all stakeholders
- Monitoring of the municipal IDP review process.

Composition of the IDP Representative Forum:

| | |
|-------------|--|
| Chairperson | : The Honourable Mayor |
| Secretariat | : Mkhambathini IDP unit |
| Members | : All Municipal Councillors |
| | : The Executive Committee |
| | : uMgungundlovu District Council Representatives |
| | : uMgungundlovu District Officials Representatives |
| | : Municipal Manager and Municipal Officials |
| | : Traditional Leaders within Mkhambathini Municipality |
| | : Ward Committees Representatives |
| | : Community Development Workers (CDWs) |
| | : Parastatals and Service Providers |
| | : NGOs and NPOs |
| | : Sector Departments |
| | : Neighbouring Municipalities |
| | : Farmers Associations |
| | : Rate Payers Organisations |
| | : Chamber of Businesses |
| | : SAPPI, Transnet, SANRAL, Vodacom, MTN, Cell C & etc. |
| | : The General Public (The Community) |

4. SECTION 3: IDP EXTERNAL ROLE PLAYERS

The involvement and participation of the following role-players will be crucial to the accomplishment of a participatory review process. The external role players in the Mkhambathini IDP Review Process are the following:

EXTERNAL STRUCTURES AND ROLE PLAYERS

- uMgungundlovu District IDP Representative Forum
- uMgungundlovu District IDP-Sub Cluster
- District IDP Steering Committee
- District Planners Forum
- Department of Local Government and Traditional Affairs
- Traditional Councils
- Ward Committees
- Sector Departments
- Neighboring Provinces
- Organised business structures
- NGOs and CBOs
- Local Farmers Association
- Organised Farm-workers structures

ROLES AND RESPONSIBILITIES

uMGUNGUNDLOVU IDP REPRESENTATIVE FORUM

This is the structure, which institutionalises and ensures a participatory District IDP review process. It represents the interests of the constituents of the municipality in the review process. It is envisaged that all organisations, stakeholders, or interest groups are represented in the forum. The IDP Representative Forum will meet according to the action programme and as when there is a need.

The roles and responsibilities assigned to the IDP Representative Forum:

- To monitor performance and implementation of the IDP,
- To ensure alignment takes place at the various levels,
- To represent the interest of the constituents in the IDP process,
- To provide input on new strategies and discuss changes to circumstances,
- To provide a forum and a mechanism for discussion, debate and agreement on development planning and progress on the IDP implementation,
- To ensure communication between all the stakeholders in the IDP process.
- Recommend reports for approval / adoption
- Representing interests of the constituents
- Present a forum for communication and participation for all stakeholders
- Monitoring the District Local Municipalities IDP review process.

Composition of the IDP Representative Forum:

| | |
|-------------|--|
| Chairperson | : The District Honourable Mayor |
| Secretariat | : District IDP Unit |
| Members | : All Municipal Councillors |
| | : The Executive Committee |
| | : uMgungundlovu District Council Representatives |
| | : uMgungundlovu District Officials Representatives |
| | : Municipal Manager and Municipal Officials |
| | : Traditional Leaders within Mkhambathini Municipality |
| | : Ward Committees Representatives |
| | : Community Development Workers (CDWs) |
| | : Parastatals and Service Providers |
| | : NGOs and NPOs |
| | : Sector Departments |
| | : Neighbouring Municipalities |
| | : Farmers Associations |
| | : Rate Payers Organisations |
| | : Chamber of Businesses |
| | : SANRAL |
| | : Civil Society |

uMGUNGUNDLOVU DISTRICT MUNICIPALITY IDP STEERING COMMITTEE

uMgungundlovu District Municipality has established a District IDP Steering Committee (IDP Planners Forum) that will ensure co-ordination of the IDP Review processes of the district and the local municipalities. The uMgungundlovu District Municipality forms a district wide IDP Steering Committee for the purpose of alignment with all the local municipalities within the district. Membership of this committee must include all the Local Municipalities and the District's Municipal Managers, IDP Managers and Planners, representatives from the Department of Local Government and Traditional Affairs and targeted service providers within uMgungundlovu District Municipality. The uMgungundlovu IDP Manager must chair the Committee / Forum. The District Municipality to oversee the implementation of the DDM to attain the realization of One Plan and One Budget through the Local Municipalities. uMgungundlovu District to ensure the functionality of the DDM Sub-clusters that include the participation of the Local Municipalities in the following forums and committees.

The roles and responsibilities assigned to the uMgungundlovu District IDP Steering Committee:

- To ensure horizontal and vertical alignment between Local Municipalities and the District Municipality,
- To co-ordinate the overall IDP process in terms of the agreed framework,
- To monitor the IDP reviews programme and decide on amendments (if necessary).
- To act as "clearing house" for issues that arises during IDP review process, and a forum for sharing information and experience.

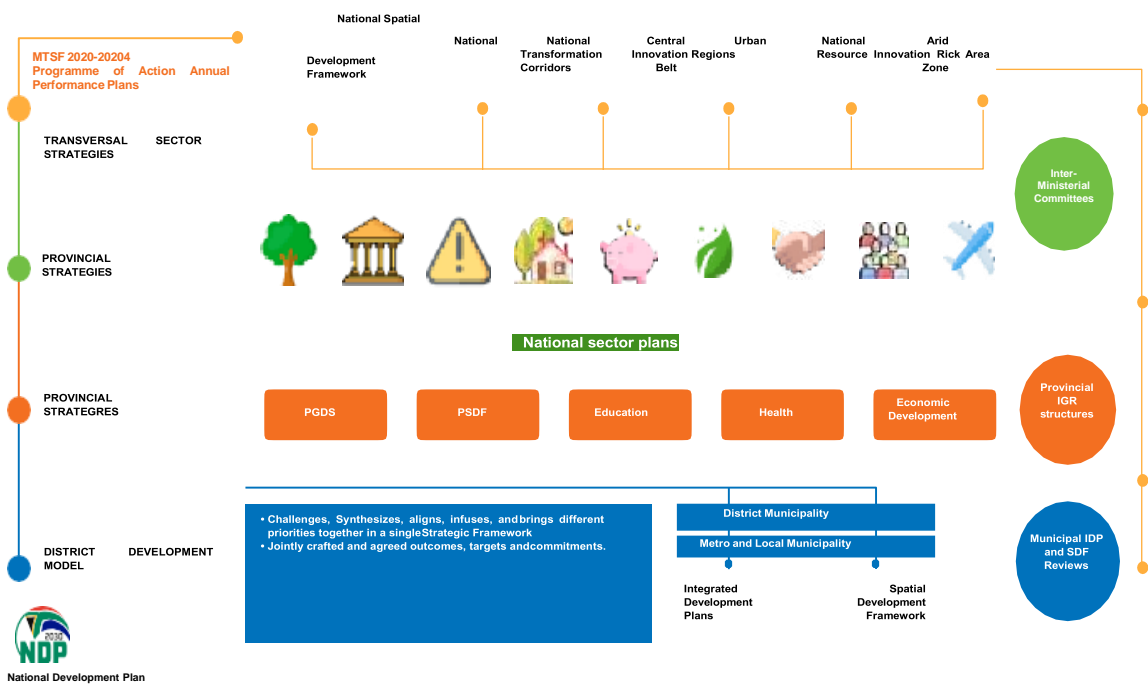
DISTRICT DEVELOPMENT MODEL (DDM) CLUSTERS AND HUBS

WHAT PROBLEMS ARE DDM TRYING TO SOLVE?

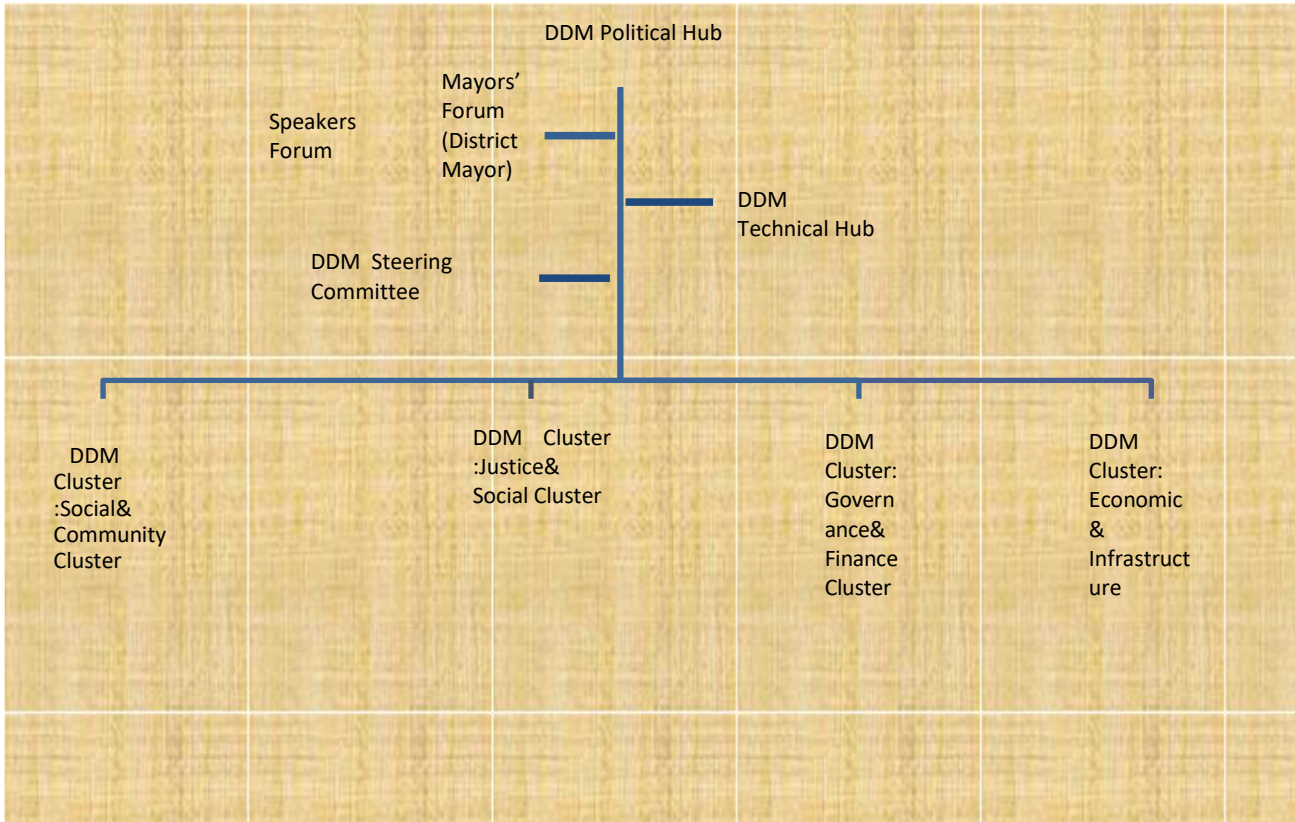
The DDM enables *synergy* between national, provincial and local priorities, and implementation of immediate priority projects and actions as well as a long-term strategic framework for predictable, coherent and effective service delivery and development.

It enables implementation of the National Development Plan (NDP), National Spatial Development Framework (NSDF), Integrated Urban Development Framework (IUDF) and the Medium-Term Strategic Framework (MTSF) by localising and synergising objectives, targets, and directives in relation to the 52 District and Metropolitan spaces (IGR Impact Zones), thereby addressing the triple challenges of poverty, inequality and unemployment in a spatially targeted and responsive manner. The DDM is positioned in relation to the NDP, MTSF and NSDF to enhance the overall system by synergizing national, provincial and local priorities in relation to the district and metro.

POSITIONING THE DDM



The district IDP unit has meetings of the IDP Sub-Cluster between the District Family Planners and IDP Practitioners . The DDM Cluster IGR Structure depicted below is the overall structure for “horizontal alignment” within the district. The District IGR Manager plays an integral role in the implementation of the DDM IGR framework and implementation of Umgungundlovu One Plan in liaison with the district family and sector departments.



CURRENT UMGUNGUNDLOVU DDM STRUCTURES

| DDM CLUSTER :SOCIAL & COMMUNITY CLUSTER | | | |
|---|--|--|--|
| CHAIRPERSON | CONVENOR | SECRETARIAT | SECRETARIAT CONTACT DETAILS |
| MKHAMBATHINI MAYOR: CLLR N NTOMBELA DEPUTY CHAIR: IMPENDLE MAYOR: CLLR MLABA | MPOFANA MM: DR H DLADLA IMPENDLE MM: Z TSHABALALA | SILINDILE BUTHELEZI MHLengi SITHOLE | buthslee@gmail.com / silindile.buthelezi@mpofana.gov.za Mhlengi.sithole@mpofana.gov.za 076-303-2167 |
| DDM CLUSTER : JUSTICE & COMMUNITY CLUSTER | | | |
| CHAIRPERSON | CONVENOR | SECRETARIAT | SECRETARIAT CONTACT DETAILS |
| MPOFANA MAYOR: CLLR M MAGUBANE DEP CHAIR MAYOR UMNGENI: CLLR C PAPPAS | UMSHWATHI MM: N MABASO RICHMOND MM: B MSWANE | NONTOBEKO MASANGO LINDIWE NXUMALO | NONTOBEKO MASANGO 072 113 0348 masangon@umshwathi.gov.za nxumalon@umshwathi.gov.za 082-814-2404 |
| DDM CLUSTER : GOVERNANCE & FINANCE CLUSTER | | | |
| CHAIRPERSON | CONVENOR | SECRETARIAT | SECRETARIAT CONTACT DETAILS |
| UMSHWATHI MAYOR, CLLR M ZONDI | MKHAMBATHINI MM: MR S MNGWENGWE CO-CONVENOR: MM UMNGENI, MR M HLOBA | NOKULUNGA NKOSI | Secretarymm@mkhambathini.gov.za 031- 785-9307 or 073-382-4086 |
| DDM CLUSTER: ECONOMIC & INFRASTRUCTURE CLUSTER | | | |
| CHAIRPERSON | CONVENOR | SECRETARIAT | SECRETARIAT CONTACT DETAILS |
| MSUNDUZI MAYOR, CLLR M THEBOLLA | MM MSUNDUZI – LULAMILE MAPHOLOBA | MBALI NDLOVU | ndlovumb@umdm.gov.za 076-794-6830/ 033-897-6742 |

DDM COORDINATION HUBS'

District Hub's monitor the Integrated Planning & Implementation of the District Development Model at a District widespread these hubs include the DDM Political Hub which is represented by Political leadership championed by a deployed MEC/HOD , DDM Technical Hub which is represented by the District Municipal Manager and the Family of Municipal Managers under the jurisdiction of the District which is also championed by a deployed MEC/HOD and these hubs manage, monitor and coordinate the process of formulating Single Joined-Up plan for the district space:

District Coordination Hubs' Functions

- economic positioning and investment attraction:
- spatial restructuring
- infrastructure engineering
- service provisioning
- governance:
 - Develop and manage a work plan for the plan formulation process in consultation with national, relevant province and district or metro;
 - Undertake and coordinate research related to district service delivery and development
 - Identify key national and local priorities; and district localization factors;
 - Assess local and sector plans against long-term planning criteria;
 - Coordinate compilation of district diagnostic profiles as a basis to inform the single plan formulation;
 - Support the district and local municipalities to make and coordinate their inputs into the plan formulation process;
 - Convene intergovernmental working sessions;
 - Compile inputs emanating from working sessions;
 - Manage the drafting and adoption of Single Joined-Up Plans;
 - Oversee implementation processes;
 - Track IGR compact commitments; and
 - Coordinate project preparation.

DDM STEERING COMMITTEE

The DDM steering committee consists of the District Hub Manager and representatives of provincial COGTA, district and local municipalities . The steering committee will assume ownership of the work Hubs and be responsible for:

- Guiding and advising in respect of all four components of the hub's work:
 - Monitoring of the Integrated development planning process
 - capacity building
 - shared resourcing
 - impact monitoring
- Ensuring horizontal alignment of the IDPs of the local municipalities in the district council area;
- Facilitation of vertical alignment of IDPs with other spheres of government and sector departments.
- Preparation of joint strategy workshops with local municipalities, provincial and national role players and other subject matter specialists
- Overseeing implementation of business and work plans
- Supporting and enabling the smooth running and operational functioning of the hubs
- Enabling conducive environment for intergovernmental collaboration
- Reporting to Provincial COGTA and identifying progress, challenges and opportunities in relating to improving government coherence , service delivery and development

DISTRICT MAYORS COORDINATING COMMITTEE (MAYORS' FORUM) AND SPEAKERS FORUM

- The Forum is a consultative forum for the District Municipality and the Local Municipalities to discuss and consult each other on matters of mutual interest, including –
- draft national and provincial policy and legislation relating to matters affecting local government interests in the District.
- the implementation of national and provincial policy and legislation with respect to such matters in the district; matters arising in the Premier's Coordination Forum and MUNIMEC;
- mutual support in terms of section 88 of the Municipal Structures Act (Act 117 of 1998).
- Service Delivery in the District.
- Coherent Planning and Development in The District; And
- Speakers ensure political and Council's harmony; and aligned planning.

IMPLEMENTATION OF DISTRICT DEVELOPMENT MODEL

President Cyril Ramaphosa launched a new integrated district-based approach to enable development and accelerate service delivery in the 44 districts and 8 metros that constitute the country, called the District Development Model (DDM) which is a District level model. Operating in silos is a challenge that has led to lack of coherence in planning and implementation of government initiatives. The District Development Model aims to accelerate, align and integrate service delivery under a single development plan per district or metro that is to be developed jointly by national, provincial and local government together with businesses and the community.

Each District plan will ensure that national priorities such as economic growth and employment; improvements to living conditions; the fight against crime and corruption and better education are attended to and aligned to existing local government plans i.e. IDP. The proposed District Level Model builds on the White Paper on Local Government (1998), which locates the role of local government as critical in *“rebuilding local communities and environments, as the basis for a democratic, integrated, prosperous and truly non-racial society”*¹. Therefore, the model is a practical Intergovernmental Relations (IGR) mechanism for all three spheres of government to work jointly and to plan and act in unison. The model consists of a process by which joint and collaborative planning is undertaken at local, district and metropolitan spheres together by all three spheres of government resulting in a single strategically focussed Joined-Up plan (One Plan) for each of the 44 districts and 8 metropolitan geographic spaces in the country.

This Development approach aims at ensuring that planning and spending across the three spheres of government is integrated and aligned but the planning process is to be technically facilitated and supported in a more rigorous way at local level through reconfigured capacities. The uMgungundlovu District Development Model was launched on 21 November 2019 in Richmond Municipality where all spheres of government were present. A District Profile has been developed for UMDM in conjunction with COGTA and all sector departments which is a consultative report that is yet to be workshopped with the assistance of COGTA internally and finalized.

Implementing the District Development profile calls for municipalities, sector departments, the private sector and many other stakeholders to work together towards fostering service delivery.

The One Plan will consist of the objectives, outputs, roles and responsibilities, and commitments in terms of which all spheres and departments as well as partners will have to act and against which they will be held accountable for prioritising resources and delivering results.

In summary the one plan can be depicted as:



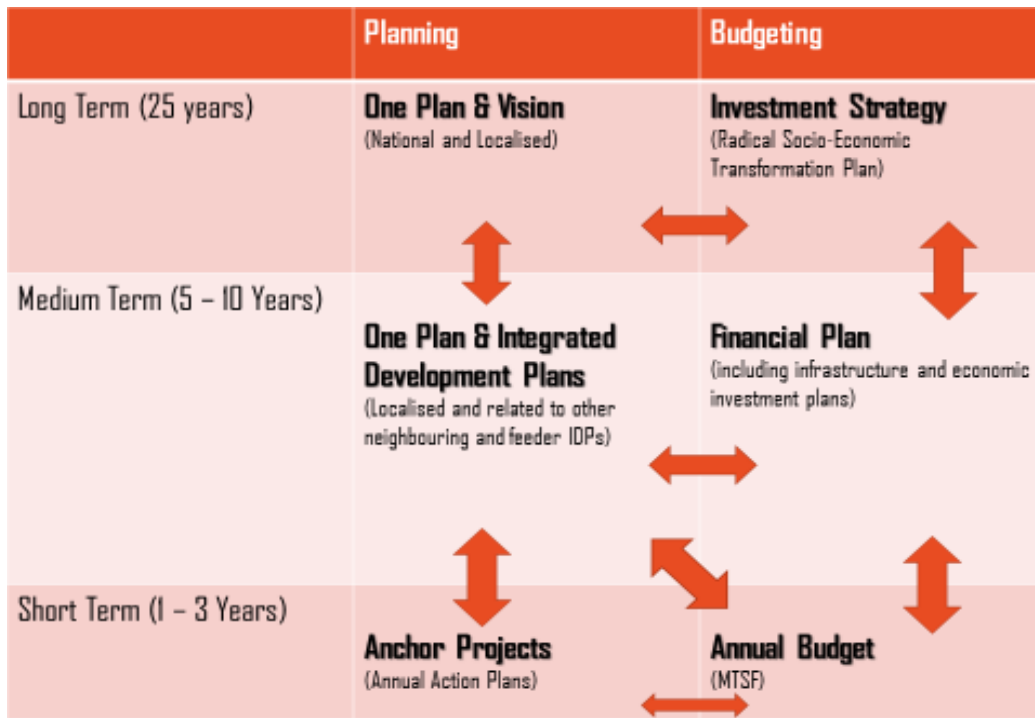
The Key principles underpinning the new District model include:

Existing Constitutional Framework Remains as is, whilst strengthening the regulatory framework for Cooperative Governance- the model is within the current constitutional framework for cooperative governance and intergovernmental relations.

Reinforce Local Government and its Proximity to Communities- Districts and Metropolitan municipalities are seen as the 'landing strip' which are to be the starting point for consolidating a better understanding of community needs. National, Provincial and local government will be discouraged from developing their individual plans and projects in isolation to the expected policy outcomes and impacts on the district/metro spaces. To achieve this all plans will be subjected to coordinated outcomes, collaborative processes and joint work methods across spheres of government and development partners.

Distinction Between Long-term and Medium-term Strategic Planning / Implementation Mechanisms- For the model to be credible and effective it must attain a sound balance between long-term Strategic

Development Planning/Execution and Short/Medium-term Alignment of Strategic Operational Plans. This will require that the cooperative governance system be subjected to longer term planning as currently championed in the NDP. In so doing disciplined execution must directly align to the projected outcomes of the NDP through strengthened cooperative governance and intergovernmental relations framework to be closely aligned through the district/metro model. In order to link long term and short-term planning the framework proposed by the White Paper on Local Government has been adapted to current realities, which can be depicted as:



DDM ONE PLAN ONE BUDGET DEVELOPMENT AND REVIEW

As per the KwaZulu Natal Premier’s 2020 State of the Province address, the following is noted with regard to the Implementation of the District Development Model [DDM]:

“For government to effectively deliver services, it must function optimally and in a coordinated way. It is against this background that government has introduced the District Development Model – One Plan, One Budget Approach.

In last year’s State of the Provide Address, we pledged to re-engineer Operation Sukuma Sakhe. We have since integrated OSS with the new DDM as part of re-engineering the former.

This Model constitutes a new way of doing things where the three spheres of government and development partners in the private sector, civil society and traditional leaders plan, budget and implement together all developmental programs with the district at the core of the process. President Ramaphosa launched the Model last October in eThekwini.

For the first time we will have one plan and one budget with only the division of labour and a shared focus on implementation. The districts are going to be major impact zones and a key yardstick to measure the progress we are making as a country in changing the lives of our people.

To date, district profiles for all 10 KZN districts have been drafted by CoGTA and a Provincial Task Team, headquartered at the Office of the Premier, has been established to lead the alignment and integration process with the existing Operation Sukuma Sakhe. All MECs who are champions in district will drive this campaign.”

Accordingly, the One Plan is an intergovernmental Plan that provides a long-term strategic framework to guide both investment and delivery within a specific District or Metropolitan Municipal space. The development of such a Plan is undertaken as part of a collaborative approach by all three spheres of government using supporting plans and policies developed within each sphere as it relates to the relevant area. As such, the Plan is adopted collectively by all three spheres of government (with their stakeholders) as an Intergovernmental Relations (IGR) and Social Compact to ensure:

- A shared understanding of the district space.
- An agreement on priorities for support, investment, and development within the District.
- A common vision for the growth and development of District as well as related measurable outcomes; and
- The alignment of targets and commitments with the District to avoid wasteful expenditure, unused infrastructure and duplication of resources.

THE DEVELOPMENT OF THE UMGUNGUNDLOVU ONE PLAN

THE UMGUNGUNDLOVU DDM PROFILE

Umgungundlovu District One Plan process was initiated in 2019 with the development of the DDM Profile which has been updated on a number of occasions as and when new and updated data becomes available. The profile is a *Status Quo* Analysis and a Short-Term Action Plan identifying immediate service delivery actions, and catalytic activities aimed at unlocking developmental projects.

The profile:

- Provides the District Hub members with a brief overview of the District demographics, and development profile;
- Provide a high level assessment of the key strategies and priorities for improvement and transformation in the following: - economic positioning, spatial restructuring, infrastructure engineering, housing and services provisioning, and governance and management;
- Identifies and collates all current sector and sphere commitments (projects and investments) in the district area for the next 18 months;
- Identifies catalytic projects; and
- Identifies key gaps and areas of misalignment between SDF, IDP and DGDP and gaps between sector plans with the DGDP, SDF and IDP.

The profile has been used as the basis to inform the development of the First Generation One Plan and assisted in the framing the discussions at the Umgungundlovu District DDM Workshop which was held on 10 June 2021.

THE DEVELOPMENT OF THE FIRST GENERATION ONE PLAN

The First Generation One Plan was discussed at a District Level. The purpose of the workshop was to:

- Develop a shared understanding of the DDM as an IGR approach for improved integrated and co-ordinated governance.
- Develop a shared understand the purpose of DDM One plan and its relationship to existing planning framework of government.
- Give focussed attention on the development of the 1st Generation ONE PLAN to ensure that the purpose and objectives behind the DDM approach is achieved: and
- Ensure compliance with the provincial November Lekgotla resolutions that the DDM teams rapidly work towards developing an initial ONE PLAN and the need to provide immediate implementation focus and purpose while the more detailed ONE PLAN process is undertaken.

The following areas were focused on:

Confirming the current situation as contained in the Umgungundlovu DDM Profile, in terms of the key opportunities and challenges.

- Identified a desired future per transformation focus area. This looked at the desired vision and outcome if problems were solved and opportunities taken.
- Identified what strategies and interventions are needed to move from the current to the desired futures.
- The identification of current commitments by the three spheres of government to enable implementation.

Following on from the workshop, and once feedback had been consolidated, a follow- up working session was held with key members of the planning team where after a first draft was collaboratively prepared. The draft was then presented for recommendation to the uMgungundlovu DDM Technical Cluster at the DDM Planning IGR Forum.

The One Plan was then presented to the DDM political Cluster, where it was adopted.

INCORPORATION OF PROJECTS AND COMMITMENTS OF SECTOR DEPARTMENTS INTO 5TH GENERATION IDP**KWAZULU-NATAL PROVINCE**TRANSPORT
REPUBLIC OF SOUTH AFRICA

| Local Municipality | 2022/3 | 2023/4 | 2024/25 | TOTAL |
|--------------------|------------|------------|------------|------------|
| Mkhambathini | 27 500 000 | 18 621 430 | 24 312 650 | 70 434 080 |

MKHAMBATHINI LM

| Description | 2022/3 | 2023/4 | 2024/25 | DC | LC No |
|--|------------|-----------|-----------|------|-----------------------|
| Maintenance - Eston Zone | | 5 000 000 | 5 000 000 | DC22 | KZN226 - Mkhambathini |
| Maintenance contract - Camperdown Zone | 13 800 000 | | | DC22 | KZN226 - Mkhambathini |
| Maintenance contract - Nagle Dam zone | | | | DC22 | KZN226 - Mkhambathini |
| Maintenance contract- Camperdown Zone | | 5 000 000 | 5 000 000 | DC22 | KZN226 - Mkhambathini |
| Maintenance contract-Nagle Dam Zone | 10 000 000 | 5 000 000 | 5 000 000 | DC22 | KZN226 - Mkhambathini |
| Regravelling L1838 (0-2 Km), L3621 (0-1.53 km) | | | 2 400 000 | DC22 | KZN226 - Mkhambathini |
| Regravelling of D1021 (km 0.00-km 5.7) | | | 3 912 650 | DC22 | KZN226 - Mkhambathini |
| Regravelling of L1314 (km 0.00-km 4.563) | | | 3 000 000 | DC22 | KZN226 - Mkhambathini |
| Regravelling of D1000 (km 5.00 -9.275) | 2 500 000 | | | DC22 | KZN226 - Mkhambathini |
| Regravelling of P502(KM7.00-9.00)D354(0.00-4.33) | | 3 621 430 | | DC22 | KZN226 - Mkhambathini |
| Regravelling of P728 (km 45.00-km50.00) | 1 200 000 | | | DC22 | KZN226 - Mkhambathini |

| | | | | | |
|--|-------------------|-------------------|-------------------|--|--|
| | | | | | |
| | 27 500 000 | 18 621 430 | 24 312 650 | | |

DEPARTMENT OF TRANSPORT

| Local Municipality | 2022/3 | 2023/4 | 2024/25 | TOTAL |
|--------------------|--------------------|--------------------|--------------------|----------------------|
| Umshwathi | 48 075 100 | 36 369 190 | 156 812 650 | 241 256 940 |
| Umngeni | 29 020 000 | 17 500 000 | 34 125 299 | 80 645 299 |
| Mpofana | 59 300 000 | 5 000 000 | 40 000 000 | 104 300 000 |
| Impendle | 15 000 000 | 10 000 000 | 13 000 000 | 38 000 000 |
| Msunduzi | 129 258 100 | 180 243 380 | 120 330 000 | 429 831 480 |
| Mkhambathini | 27 500 000 | 18 621 430 | 24 312 650 | 70 434 080 |
| Richmond | 30 255 500 | 32 000 000 | 27 000 000 | 89 255 500 |
| TOTALS | 338 408 700 | 299 734 000 | 415 580 599 | 1 053 723 299 |

CAPITAL HEAD OFFICE PROJECTS UPGRADES and REHABILITATION

MKHAMBATHINI LM

| Project / Programme Name | Activity | Implementer/ Responsibility | Municipality / Region | Estimated budget | Project Status (to be as per IRM) |
|---|----------------|-----------------------------|---------------------------------|------------------|-----------------------------------|
| Upgrade of D1001 (km0,00 to km8,50) | Upgrade roads | Construction | Mkhambathini Local Municipality | R 140 000 000 | Stage 3 Design Development |
| Upgrade of P728 (km26,8 to km52,0) | Upgrade roads | Construction | Mkhambathini Local Municipality | R 378 000 000 | Stage 1 Project Initiation |
| Rehabilitation of P338 (km0 to km11,6) | Rehabilitation | Rehabilitation | Mkhambathini Local Municipality | R 115 058 000 | Stage 5 Works 76 to 100% |
| Construction of Umngeni River Bridge P423 | Upgrade roads | Construction | Mkhambathini Local Municipality | R 16 750 000 | Stage 1 Project Initiation |
| Rehabilitation of P477 (km0 - km5,0) | Rehabilitation | Rehabilitation | Mkhambathini Local Municipality | R 85 100 000 | Stage 1 Project Initiation |



| PROJECT NAME | DISTRICT MUNICIPALITY | local Municipality | Ward Number | INFRASTRUCTURE PROGRAMMES | IMPLEMENTING AGENT | TOTAL PROJECT COST R'000 | ALLOCATION 2022-23 R'000 | ALLOCATION 2023-24 R'000 | ALLOCATION 2024-25 R'000 | PROJECT NAME |
|-------------------------|-----------------------|-----------------------|-------------|----------------------------------|--------------------|--------------------------|--------------------------|--------------------------|--------------------------|-------------------------|
| BANIYENA PRIMARY SCHOOL | UMGUNGUNDLOVU | Mkhambathini (KZN226) | 7 | UPGRADES AND ADDITIONS | DOPW | R 2,250.000 | R - | R - | R 241.580 | BANIYENA PRIMARY SCHOOL |
| DWENGU HIGH SCHOOL | UMGUNGUNDLOVU | Mkhambathini (KZN226) | 6 | UPGRADES AND ADDITIONS | DOPW | R 1,150.000 | R - | R 21.150 | R 161.264 | DWENGU HIGH SCHOOL |
| EMFENI PRIMARY SCHOOL | UMGUNGUNDLOVU | Mkhambathini (KZN226) | 4 | UPGRADES AND ADDITIONS | COEGA | R 3,300.000 | R - | R 846.900 | R 350.443 | EMFENI PRIMARY SCHOOL |
| GCINA PRIMARY SCHOOL | UMGUNGUNDLOVU | Mkhambathini (KZN226) | 1 | UPGRADES AND ADDITIONS | DOPW | R 8,192.393 | R - | R 1,827.944 | R 616.168 | GCINA PRIMARY SCHOOL |
| GULUBE PRIMARY SCHOOL | UMGUNGUNDLOVU | Mkhambathini (KZN226) | 7 | UPGRADES AND ADDITIONS | COEGA | R 2,584.214 | R - | R 846.900 | R 350.443 | GULUBE PRIMARY SCHOOL |
| GULUBE PRIMARY SCHOOL | UMGUNGUNDLOVU | Mkhambathini (KZN226) | 7 | UPGRADES AND ADDITIONS | DOPW | R 1,150.000 | R 162.573 | R - | R - | GULUBE PRIMARY SCHOOL |
| IMBOYI PRIMARY SCHOOL | UMGUNGUNDLOVU | Mkhambathini (KZN226) | 3 | UPGRADES AND ADDITIONS | COEGA | R 2,611.808 | R - | R 846.900 | R 350.443 | IMBOYI PRIMARY SCHOOL |
| ISMONT HIGH SCHOOL | UMGUNGUNDLOVU | Mkhambathini (KZN226) | 6 | REFURBISHMENT AND REHABILITATION | DOPW | R 4,106.940 | R 1,245.692 | R - | R 1,967.552 | ISMONT HIGH SCHOOL |
| ISMONT HIGH SCHOOL | UMGUNGUNDLOVU | Mkhambathini (KZN226) | 6 | REFURBISHMENT AND REHABILITATION | DOPW | R 2,900.000 | R - | R 612.946 | R 275.445 | ISMONT HIGH SCHOOL |
| ISMONT HIGH SCHOOL | UMGUNGUNDLOVU | Mkhambathini (KZN226) | 6 | UPGRADES AND ADDITIONS | DBSA | R 1,041.703 | R 567.000 | R 176.068 | R 119.464 | ISMONT HIGH SCHOOL |



FUNDING SUPPORT & PROJECTS

| Project | Description | Location | Status | Budget |
|--|--|---|--|--|
| Operation Vula Fund Tiers: T1-3 | Entrepreneurial Support In progress | Umgungundlovu District Tiers: 1 2 3 Msunduzi : 67 2 17 Umshwathi : 8, 0 0 Mkhambathini: 7 0 0 Umngeni: 5 0 0 Impendle: 7 0 1 Mpofana: 6 0 0 Richmond: 3 0 1 | Different levels of Implementation | R17,000,000 R900,000 R33,000,000 R50,500,000 <i>(Detailed list available and provided)</i> |
| N3 Corridor Development Master Plan | Plan and establish a New Town along the N3 (eThubeni). UMEDA - Champion | Mkhambathini LM along the N3 Development Corridor | Funds transferred to UMEDA and very slow progress to date. | R1,750,000 |
| Tourism Graduate Development Programme (Ongoing project – roll over) | Provides integrated learning for unemployed tourism students to promote job creation in sector | Edendale/Mbali CTO 1, Mpophomeni CTO 1, Richmond 1, uMshwathi 1, Impendle 2, uMngeni 1, UMEDA 1, EDTEA 1 <i>(Total – 9)</i> | The graduates were appointed During December 2020 | Monthly stipends +/- R6,000pm |

| ALIEN INVASIVE SPECIES PROGRAMME 23/24 | | |
|--|---------------------|--------------|
| MUNICIPALITY | WARDS | BUDGET |
| MKHAMBATHINI | WARDS 1,2,3,4,5,6,7 | • R3 800 000 |



KWAZULU-NATAL PROVINCE

HUMAN SETTLEMENTS
REPUBLIC OF SOUTH AFRICA

| Municipality | Project Name | Ward | Status | Units | 2021/22 | 2022/23 | 2023/24 |
|----------------------------------|---|-------|--------------|-------|-------------|---------|---------|
| Mkhambathini Municipality | | | | | | | |
| Mkhambathini | Stockdale | 3 | Pre-Planning | 250 | R317 000,00 | R0,00 | R0,00 |
| Mkhambathini | Mkhambathini Ward 3 and 5 Housing Project | 3 & 5 | Pre-Planning | 2000 | R0,00 | R0,00 | R0,00 |
| Mkhambathini | Poortjie | 3 & 4 | Pre-Planning | TBD | R0,00 | R0,00 | R0,00 |



| No | Project Name | Contract | Total Approved MIG Budget Construction +Fees (Incl VAT | Expenditure as @ February 2023 (Incl VAT& Retention) | Contract Balance | Exp (%) | Physical progress (%) |
|----|-------------------------|--------------|--|--|------------------|---------|-----------------------|
| 1 | Nkanyezini Water(Ward 3 | Construction | R149 347 481.00 | R80 017 416.69 | R69 330 064.31 | 53.58 % | 55% |

AWIP PROJECTS- VIP

| No | Project Name | Units | Contract | Total Project Cost (Incl VAT & Cont) | Exp. as @ Feb 2023 (Incl VAT) | Contract Balance | Exp (%) | Physical progress (%) |
|----|---------------------------|-------|--------------|--------------------------------------|-------------------------------|------------------|---------|-----------------------|
| 2 | Mkhambathini L M (Ward 3) | 98 | Construction | R 1,661,017 | R1,040,172 | R 620,845 | 63% | 10% |

MKHAMBATHINI LED STRATEGY DEVELOPMENT

Mkhambathini Local Economic Development Strategy has been developed in-house through Mkhambathini Local Municipality LED Unit and was adopted by council on the 30th of June 2022 and the implementation plan was reviewed on the 31st of May 2023.

DISTRICT PLANNERS AND LED FORUM (PLED)

uMgungundlovu District Planners Forum is dealing with development issues in a proactive manner by creating an information sharing platform and benchmarking strategies where it, as collective departments can equip and capacitate municipalities with the necessary tools and relevant skills to discharge their mandates. Meetings are chaired by the District IDP Manager and are held to:

- coordinate technical submissions and the compilation of the respective IDP documents
- Ensure horizontal alignment between the district and local municipalities IDP's, and
- Ensure vertical alignment between the district and local municipalities, National and Provincial spheres.

DEPARTMENT OF COOPERATIVE GOVERNMENT AND TRADITIONAL AFFAIRS

The roles and responsibilities assigned to the Department of Cooperative Government and Traditional Affairs:

- Coordinate participation and cooperation of all other key sector departments.
- Monitor and advise the municipalities on the drafting, review, and implementation of the IDP.
- Ensure that sector department's planning and participation is informed by Municipal plans and IDP
- Closely monitor sector departments performance in terms of fulfilling their core functions and support the Municipality
- Ensure the Functionality of IGR Structures as per DDM implementation at a District Level to a Local Level

TRADITIONAL COUNCILS

The Traditional Councils will work as a link between the community and Ward Councillors and matters of service delivery and needs of the people. Assist in giving information about land rights and possible available areas for future development.

WARD COMMITTEES

The Ward Committees have a crucial role of identifying the needs and service delivery gaps in the community and report to the Ward Councillor. Ward Committees are the vehicles through which the notions of a participatory democracy and a representative democracy as outlined in Section 152 of the Constitution become a reality. In both the Structures Act and the Systems Act a statutory framework is established that broadly outlines a system of participatory democracy. The Structures Act gives the bare bones of a ward committee whilst the Systems Act defines the nature of community participation.

Local Government Municipal Structures Act 117 of 1998, as amended Section 74. A ward committee- may make recommendations on matters affecting its ward to the ward councillor; or through the ward councillor, to the council, the executive committee, the executive mayor, and has such duties and powers as the council may delegate to it in terms of section 32.

A ward committee may only have ten members and no executive powers can be delegated to ward committees. The powers and functions of ward committees are left to the discretion of the municipality.

Composition and Functions of the Ward Based Planning Forum

The forum consists of Ward Committee Members who participate also in the IDP representative forum to do the following:

- Inform interest groups, communities and organisations about relevant planning activities and their outcomes.
- Analyse issues, determine priorities, negotiate, and reach consensus.
- Participate in the designing of project proposals and/ or the evaluation thereof.
- Discuss and comment on the draft IDP.
- Discuss and comment on the Ward-based Plan of the respective ward
- Ensure that annual operational business plans and budgets are based on and linked to the IDP; and
- Monitor the implementation performance of the ward-based plans
- Conducting meetings or workshops with groups, communities, or organisations to prepare and follow up on relevant planning.
- Provide inputs related to various planning steps
- Summarising /processing inputs from the participating process
- Advising ward councillors on policy matters affecting wards.
- Identifying needs and challenges that wards face.
- Receiving complaints from residents about municipal service delivery, and
- Communicating information to wards on budgets, IDP's and service delivery options.

SECTOR DEPARTMENTS

The Sector Departments have the following responsibilities:

- Assist in the IDP formulation and review process,
- Contribute and provide relevant information on the provincial sector departments' plans, programmes, budgets, objectives, strategies, and projects in a concise and accessible manner,
- Ensure budgetary alignment between provincial programmes and projects and the municipality's IDP to enhance the realization of the DDM
- Contribute sector expertise and technical knowledge to the formulation of municipal strategies and projects, and,
- Participate in the provincial management system of coordination.

5. SECTION 4: MECHANISM FOR PUBLIC PARTICIPATION

PUBLIC PARTICIPATION EXISTING STRUCTURES

It appears in Chapter 4 of the Municipal Systems Act, Act 32 of 2000 that a Municipality must encourage and create conditions for the local community to participate in the affairs of the municipality, including the preparation, implementation, and review of its Integrated Development Plan. In this context, the municipality is committed to a participatory process of IDP review whereby the community will play a meaningful role.

There are four major functions that can be aligned with the public participation process namely:

- Needs identification
- Identification of appropriateness of proposed solutions
- Community ownership and buy-in; and
- Empowerment

The following mechanisms for public participation will be utilized in accordance with the Municipal Systems Act, Section 28, The municipality must through appropriate mechanisms, processes and procedures established in terms of Chapter 4, consult the local community before adopting the process and the municipality must give notice to the local community of particulars of the process it intends to follow.

The public consultation process that has been utilized by the municipality taking into account the Covid-19 challenges and the alert levels pronounced by national government which have introduced a diverse method for communication by the municipality for community engagements are the various Social Media platforms utilized by the municipality to enhance public participation, such as The Municipal Facebook page, Mkhambathini Twitter Page and Mkhambathini Local Municipality Whatsapp Group for community interaction and Radio Stations such as uMgungundlovu FM and Gagasi FM are utilized to disseminate information to the community of Mkhambathini Local Municipality.

IDP REPRESENTATIVE FORUM (IDP RF)

This forum will represent all stakeholders and will be as inclusive as possible. Efforts will be made to bring additional organizations into the IDP RF and ensure their continued participation throughout the process.

MKHAMBATHINI IDP/BUDGET ROADSHOWS

The Mkhambathini Municipality conducts two sets of IDP (Integrated Development Plan) Roadshows per Ward a year. This is done to make sure that residents of Mkhambathini Municipality get the opportunity to constructively contribute to participatory democracy and local government. The meetings provide the community with the opportunity to express their needs and give input on how the Municipality must direct plans and resources, including its budget, for the next financial year.

WARD COMMITTEES AND COMMUNITY DEVELOPMENT WORKERS

As formal structures established in terms of the Municipal Structures Act, the Ward Committees and Ward Councillors will be used as a link between the Municipality and Communities, to obtain information and any other information with regards to the progress of the implementation of IDP.

TRADITIONAL AUTHORITIES

Systems Act, Act 32 of 2000 and Spatial Planning and Land Use Management Act, Act 16 of 2013 requires the municipality to involve Traditional Authorities in their structures in order to ensure the relevance of information with regards to the community challenges and progress of the implementation of IDP.

PUBLIC PARTICIPATION METHODS**NEWSPAPER ADVERTISEMENT**

Local newspapers such as (Isolezwe and The Witness) will be used to inform the local community of the progress of the IDP.

RADIO SLOTS

The National and Local Radio Station such as uMgungundlovu FM and Gagasi FM will be utilised to make public announcements and social media platforms will be utilized such as the Municipal Facebook Page and Twitter page to make public announcements where such necessary to adhere to the Covid-19 regulations.

MUNICIPAL WEBSITE

The Mkhambathini Website will also be utilized to communicate and inform the community. Copies of the IDP and Budget will be placed on the website for people and service providers to download on the municipal website: www.mkhambathini.gov.za.

MUNICIPAL NOTICE BOARD

The Municipal Notice Board will be used to inform stakeholders of critical IDP meetings.

IDP IZIMBIZO/PUBLIC PARTICIPATION

The Mkhambathini Municipality will be hosting Imbizo/Public participation road shows to publicize the Draft IDP and Budget after approval in March. The Mayoral Draft IDP and Budget Imbizo /will be conducted on the month of April /May on the planned programmes and budget. The venues for these meetings will be publicized at the IDP Representative Forum as well as through the social media platforms and Municipal Website.

INFORMATION SHEET/ BROCHURES/ PAMPHLETS

At the completion of each of the Sector Plans, as well as the IDP Review, an information sheet will be prepared in the two dominant languages, namely isiZulu and English. The members of the Representative Forum, Officials and Councillors will be given copies of these information booklets and will assist in the distribution of the booklets. Ward Committees will also be used to explain and to distribute information that needs to get to the public.

6. SECTION 5: ALIGNMENT OF THE IDP AND BUDGET PREPARATION PROCESS, AND THE PERFORMANCE MANAGEMENT SYSTEM (PMS)

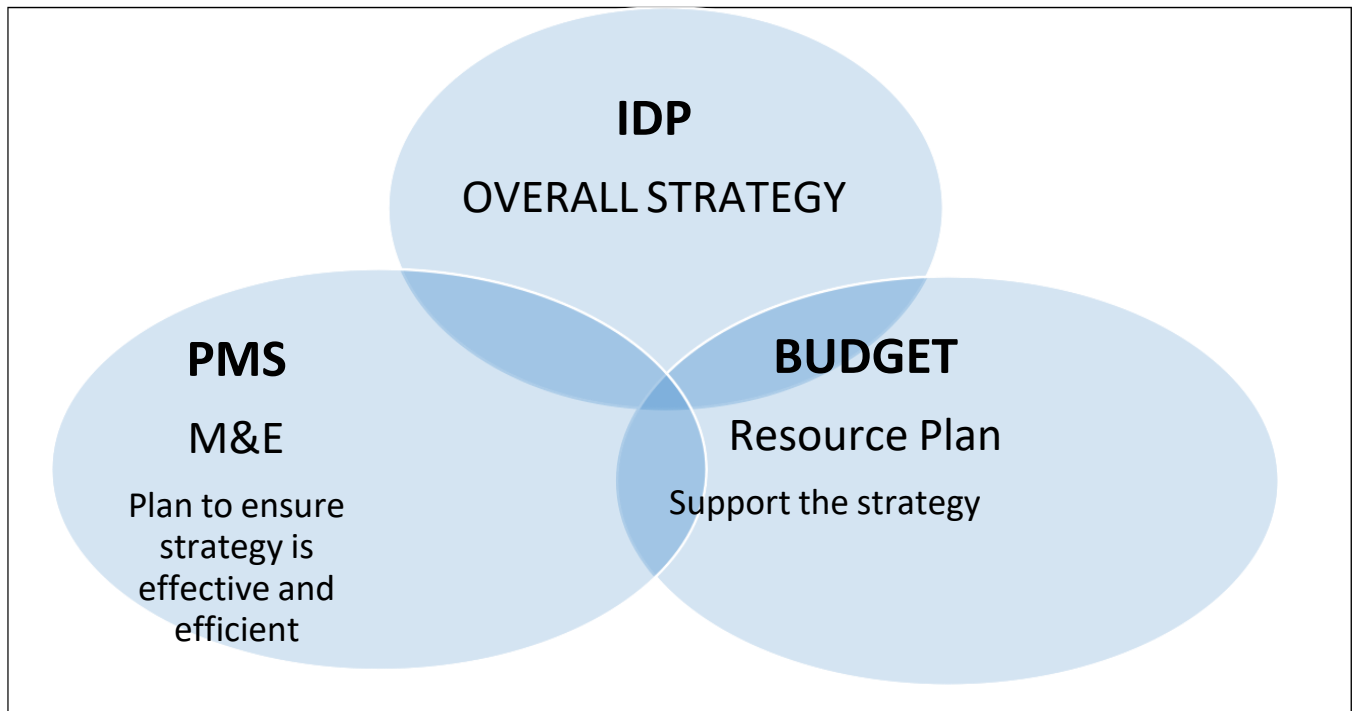
ALIGNMENT BETWEEN IDP, BUDGET AND PMS

The PMS process must address the following issues:

- Alignment of the PMS, Budget and IDP Processes.
- Implementation of individual performance management system at senior managerial level.

The IDP, performance management systems (PMS) and budget are all components of one overall development planning and management system. The IDP sets out what the municipality aims to accomplish, how it will do this. The PMS enables the municipality to check to what extent it is achieving its aims through the SDBIP. The budget provides the resources that the municipality will use to achieve its aims table on the SDBIP of the municipality. As indicated earlier, every attempt has been made in this process plan to align the IDP and PMS formulation and/or review, and the budget preparation process. The linkages of the three processes are summarized in the following diagram:

LINKAGES BETWEEN IDP, BUDGET AND PMS



7. SECTION 6: IDP DEVELOPMENT PROCESS

ALIGNMENT BETWEEN IDP, BUDGET AND PMS

The following is a summary of possible key elements to be addressed during the IDP Review Process:

ASSESSMENT ISSUES

- Comments received from the various role-players in the assessment of the IDP Review, particularly during the “IDP Decentralized Assessments” conducted by COGTA as well as the MEC Panel comments; and
- Areas identified through self-assessment and CBP
- IDP Managers and Planners forum ensures that all issues of alignment between the activities of the district are synchronised with those of LMs

REVIEW OF THE STRATEGIC ELEMENTS OF THE IDP IN TERMS OF COUNCIL’S NEW PRIORITIES

- Vision, Mission and Objectives.
- Strategic thrusts of the Municipality (Developed in the Strategic Planning Session);
- Spatial Development Framework and other critical sector plans
- Implementation of Radical Economic Transformation Policies
- Integration with the local Government Back to Basics Strategy

INCLUSION OF NEW INFORMATION WHERE NECESSARY

- Addressing any areas requiring additional attention in terms of legislative requirements not addressed during the previous years of the IDP Review Process.
- On-going alignment with the DGDP
- On-going alignment with the OPMS, in terms of Chapter 6 of the Municipal Systems Act (MSA), with the IDP.
- Any changes based on the annual performance assessment as contained in the Annual Report; and
- The update of the Financial Plan, the list of projects (both internally and externally funded).

LINK OF THE IDP PROCESS WITH OPMS AND BUDGET ACTIVITIES

Table 1: IDP - PMS – Budget Linkages with Timeframes (as per MFMA)

| MONTH | ACTIVITIES | | | | | |
|------------------|---|--|---|---|--|--|
| | IDP | PMS | BUDGET | | | BUDGET REVIEW ACTIVITIES |
| | | | MAYOR AND COUNCIL | ADMINISTRATION - MUNICIPALITY | | |
| <i>JULY 2023</i> | Preparation of the Draft IDP-Budget and PMS Process Plan. Engagement with Budget Office and PMS for alignment purposes. Submission of the Draft IDP-Budget Process Plan to COGTA | Signing of new performance contracts for Section 57 Managers and submission to EXCO (Section 69 of the MFMA and Section 57 of the MSA). Roll out of the SDBIP Prepare Departmental Business/Sectional Plans. Final S57 Managers' Performance Assessments. Preparation of S46 Reports by various HOD's. | Mayor begins planning for next three-year budget in accordance with co-ordination role of budget process MFMA S53 Planning includes review of the previous year's budget process and completion of the Budget Evaluation Checklist | Accounting officers and senior officials of municipality and entities begin planning for next three-year budget MFMA S68, 77 Accounting officers and senior officials of municipality and entities review options and contracts for service delivery MSA S76-81 | | <i>Approve and announce new budget schedule and set up committees and forums. Consultation on performance and changing needs.</i> |
| <i>AUG 2023</i> | Tabling of Draft IDP Process Plan to EXCO for noting and comments. Tabling of Draft IDP Process Plan to Council for noting and comments. Advertisement of the Draft IDP Process Plan to meet AG audit | Submission of Q4 SDBIP Reports (for last quarter of 20/21) MPPR Reg. 14 Submission of the Annual Performance Reports prepared in terms of s46 of MSA 2000 to Council Submission of S46 Report to AG Quarterly Audit Committee meeting, MFMA | Mayor tables in Council a time schedule outlining key deadlines for: preparing, tabling and approving the budget; reviewing the IDP (as per S34 of MSA) and budget related policies and consultation processes at least 10 months before the start of the budget year. MFMA S21,22, 23. | Accounting Officer to submit AFS to Auditor-General [Due by 31 August, MFMA Sec 126(1)(a)] | | <i>Consultation on performance and changing needs. Review performance and financial position. Review external mechanisms. Start Planning for next three years.</i> |

| | | | | | |
|------------------|---|---|--|---|--|
| | <p>requirements IDP preparation process initiated. Review of comments received on the last IDP Document. Self-assessment to identify gaps in the IDP process. Integration of information from adopted Sector Plans into the IDP Review document. Initiation of new sector plans into the IDP, if any.</p> | <p>Sect 166 & MPPR Reg. 14(3)(a) Evaluation Panel Audit Committee meeting (for evaluation of Sec57 Managers final assessments) MPPR Reg. 14(3)(b)</p> | <p>MSA S34, Ch 4 as amended Mayor establishes committees and consultation forums for the budget process</p> | | |
| <p>SEPT 2023</p> | <p>Integration of information from adopted Sector Plans into the IDP Review document if possible Updating and review of the strategic elements of the IDP considering the new focus of Council.</p> <p>Review and updating of the IDP Vision, Mission and Objectives. IDP Steering Committee Meeting</p> | <p>Auditor General audit of performance measures Reminder to be sent to HOD's to submit their Q1 SDBIP Reports in terms of S41 MSA</p> | <p>Council through the IDP review process determines strategic objectives for service delivery and development for next three-year budgets including review of provincial and national government sector and strategic plans</p> | <p>Budget offices of municipality and entities determine revenue projections and proposed rate and service charges and drafts initial allocations to functions and departments for the next financial year after considering strategic objectives Engages with Provincial and National sector departments on sector specific programmes for alignment with municipalities</p> | <p><i>Update policies, priorities, and objectives. Determine revenue projections and policies.</i></p> |

| | | | | | |
|-----------------|---|---|--|---|--|
| | Convene 1 st IDP Representative Forum | | | plans (schools, libraries, clinics, water, electricity, roads, etc.) | |
| <i>OCT 2023</i> | Integration of information from adopted Sector Plans into the IDP Review document. Integration of Spatial Development Framework Updating and review of the strategic elements of the IDP considering the new focus of Council. IDP Steering Committee Meeting | Submission of Q1 Reports by HOD's Q1 Reports tabled to Council (for first quarter) MPPR Reg. 14 Sect57 Managers' quarterly informal assessments (for first quarter) | N/A | Accounting officer does initial review of national policies and budget plans and potential price increases of bulk resources with function and department officials MFMA s 35, 36, 42; MTBPS | <i>Determine revenue projections and policies. Engagement with sector departments, share and evaluate plans, national policies, MTBPS. Draft initial allocations to functions. Draft initial changes to IDP.</i> |
| <i>NOV 2023</i> | Convene 2 nd IDP Representative Forum. Departments to comment on the reviewed Municipal Strategies, Objectives, KPA's, KPI's and targets. Project alignment between the DM and LM's. Identification of priority IDP projects. | Compile annual report (MFMA Sect 121) Reminder to be sent to HOD's to submit their Q2 SDBIP Reports in terms of s41 MSA | Council finalizes tariff (rates and service charges) policies for next financial year MSA s 74, 75 | Accounting officer and senior officials consolidate and prepare proposed budget and plans for next financial year considering previous year's performance as per audited financial statements | <i>Executive determines strategic choices for next three years. Finalize tariff policies.</i> |
| <i>DEC 2023</i> | Review of Municipal Strategies, Objectives, KPA's, KPI's and targets. | Submission of Q2 Reports by HOD's Q2 Reports tabled to Council (for second quarter) MPPR Reg. 14 | Entity board of directors must approve and submit proposed budget and plans for next three- | Accounting officer reviews proposed national and provincial allocations to | <i>Prepare detailed budgets and plans for the next three years.</i> |

| | | | | | |
|-----------------|---|---|---|---|--|
| | <p>Identification of priority IDP projects. IDP Steering Committee Meeting</p> | <p>Mayor tables draft annual report, MFMA Sect 127(2) Make public annual report and invite community inputs into report (MFMA Sect 127 & MSA Sect 21a) Municipal Manager submits Midterm/Midyear Report to the Mayor (in terms s72 MFMA) Midterm/Midyear Report is published in the Local Newspaper</p> | <p>year budgets to parent municipality at least 150 days before the start of the budget year MFMA s 87(1)</p> | <p>municipality for incorporation into the draft budget for tabling. (Proposed national and provincial allocations for three years must be available by 20 January) MFMA S36</p> | |
| <p>JAN 2024</p> | <p>Municipal IDP Strategic Planning Session Review of Municipal Strategies, Objectives, KPA's, KPI's and targets. Identification of priority IDP projects. Project alignment between the UMDM and Municipality.</p> | <p>Quarterly Audit Committee meeting (for the first quarter) MFMA Section 166 & MPPR Reg. 14(3)(a)</p> | <p>N/A</p> | <p>Accounting officer reviews and drafts initial changes to IDP MSA s 34 Auditor-General to return audit report [Due by 30 November, MFMA 126(4)]</p> | <p><i>Draft initial changes to IDP. Consolidation of budgets and plans. Exco. determines strategic choices for next three years.</i></p> |
| <p>FEB 2024</p> | <p>Continuous Review of Municipal Strategies, Objectives, KPA's, KPI's and targets. Identification of priority IDP projects. IDP Stakeholders Meeting</p> | <p>Quarterly Project Implementation Report (for second quarter) MPPR Reg. 14 Quarterly Audit Committee meeting (for the second quarter) MFMA Sect 166 & MPPR Reg. 14(3)(a) Submit draft annual report to AG, Provincial</p> | <p>Council considers municipal entity proposed budget and service delivery plan and accepts or makes recommendations to the entity MFMA s 87(2)</p> | <p>Accounting officer finalises and submits to Mayor proposed budgets and plans for next three-year budgets considering the recent mid-year review and any corrective measures proposed as part of the oversight report for the</p> | <p><i>Prepare detailed budgets and plans for the next three years. Exco adopts budget and plans and changes to IDP.</i></p> |

| | | | | | |
|----------|---|---|--|---|--|
| | IDP Steering Committee Meeting | &DLGTA (MFMA Sect 127) Sect 57 Managers' formal quarterly assessments (for second quarter) | | previous years audited financial statements and annual report | |
| MAR 2024 | Finalization of Municipal Strategies, Objectives, KPA's, KPI's and targets. IDP Steering Committee Meeting Approval of Draft IDP and Budget Publicize Draft IDP and Budget (Invite Local Community to make written comments in respect of the IDP and Budget) Publicize Draft IDP and Budget in the Local Newspaper. Submission of the Draft IDP to CoGTA | Council to consider and adopt an oversight report [Due by 31 March MFMA Sec 129(1)] Council Adopts draft Annual Report for the year ending June 2021 Publicize Annual Report and MPAC Report Draft SDBIP's developed and for incorporation into draft IDP Reminder to be sent to HOD's to submit their Q3 SDBIP Reports in terms of s41 MSA Set performance objectives for revenue for each budget vote (MFMA Sect 17) | Entity board of directors considers recommendations of parent municipality and submit revised budget by 22nd of month MFMA s 87(2) Mayor tables municipality budget, budgets of entities, resolutions, plans, and proposed revisions to IDP at least 90 days before start of budget year MFMA s 16, 22, 23, 87; MSA s 34 | Accounting officer publishes tabled budget, plans, and proposed revisions to IDP, invites local community comment and submits to NT, PT and others as prescribed. MFMA s 22 & 37; MSA Ch 4 as amended Accounting officer reviews any changes in prices for bulk resources as communicated by 15 March MFMA s 42 | <i>Mayor tables budget, resolutions, plans and changes to IDP at least 90 days before the start of the financial year.</i> |
| APR 2024 | Review written comments in respect of the Budget and IDP Conclusion of Sector Plans initiated and integration into the IDP Review report. IDP Steering Committee Meeting Public participation process launched through series | Q3 Reports tabled to Council (for third quarter) MPPR Reg. 14 Refinement of Municipal Strategies, Objectives, KPA's, KPI's and targets and inclusion into the IDP. Sect 57 Managers' informal quarterly assessments (for third quarter) Publicise Annual Report [Due by | MFMA s 21 | Accounting officer assists the Mayor in revising budget documentation in accordance with consultative processes and taking into account the results from the third quarterly review of the current year | <i>Public hearings on the Budget, Council Debate on Budget and Plans.</i> |

| | | | | | |
|----------|---|--|---|--|---|
| | of public Roadshows on the IDP and Budget. Prepare departmental business plans linked to the IDP strategies, objectives, KPI's and targets. | April MFMA Sec 129(3) Submit Annual Report to Provincial Legislature/MEC Local Government [Due by April MFMA Sec 132(2)] Review annual organizational performance targets (MPPR Reg 11) | | | |
| MAY 2024 | EXCO recommends adoption of the IDP to Council. Adoption of the IDP by Council. Publicize IDP and Budget in the Local Newspaper. Convene IDP Representative Forum | Community input into organisation KPIs and targets Budget for expenses of audit committee | Council to consider approval of budget and plans at least 30 days before start of budget year. MFMA s23, 24; MSA Ch 4 as amended Entity board of directors to approve the budget of the entity not later than 30 days before the start of the financial year, considering any hearings or recommendations of the council of the parent municipality MFMA s 87 | Accounting officer assists the Mayor in preparing the final budget documentation for consideration for approval at least 30 days before the start of the budget year considering consultative processes and any other new information of a material nature | |
| MAY 2024 | Submission of the Final IDP to COGTA | Reminder to be sent to HOD's to submit their Q3 SDBIP Reports in terms of s41 MSA | Council must approve annual budget by resolution, setting taxes and tariffs, approving changes to IDP and budget related policies, approving measurable performance objectives for revenue by source | Accounting officer submits to the mayor no later than 14 days after approval of the budget a draft of the SDBIP and annual performance agreements required by s 57(1)(b) of the MSA. | <i>Publish budget and plans. Finalise performance contracts and delegation. Council adopts budget, resolutions, capital implementation plans, objectives, and changes in IDP.</i> |

| | | | | | |
|--|--|--|---|--|--|
| | | | <p>and expenditure by vote before start of budget year MFMA s 16, 24, 26, 53 Mayor must approve SDBIP within 28 days after approval of the budget and ensure that annual performance contracts are concluded in accordance with sect 57(2) of the MSA. Mayor to ensure that the annual performance agreements are linked to the measurable performance objectives approved with the budget and SDBIP. The mayor submits the approved SDBIP and performance agreements to council, MEC for local government and makes public within 14 days after approval. MFMA s 53; MSA s 38-45, 57(2) Council must finalize a system of delegations. MFMA s 59, 79, 82; MSA s 59-65</p> | <p>MFMA s 69; MSA s 57 Accounting officers of municipality and entities publishes adopted budget and plans MFMA s 75, 87</p> | |
|--|--|--|---|--|--|

7. ALIGNMENT MECHANISMS

VERTICAL ALIGNMENT

NATIONAL GOVERNMENT DEPARTMENTS

Mkhambathini Local Municipality will endeavour to align the IDP review process with relevant National legislations, Policies, Programmes (i.e. CBPWP, ASGISA, ISRDP, Water, Urban Renewal Programme, etc.) and financial plans (i.e. MTEF, MTEP, etc)

Alignment between Mkhambathini Local Municipality and the Provincial Government will occur and be reinforced at the IDP Representative Forum. During this process, we will be able to integrate the plans, programmes, and budgets of the Provincial Government Departments into the Mkhambathini Municipal IDP.

HORIZONTAL ALIGNMENT

In terms of Chapter 5 and Section 26 of the Municipal Systems Act (2000), Districts are required to prepare and adopt a Framework Plan which indicates how the District and Local Municipalities will align their IDP's. The Framework Plan provides the linkage and binding relationships to be established between the district and local municipalities, and in doing so, proper consultation, coordination, and alignment of the review process of the district municipality and various local municipalities can be maintained.

Therefore, alignment at this level will be co-ordinated at the uMgungundlovu District Municipality's IDP Steering Committee and DDM IDP-Subcluster committee (IDP Planners/Technical Forum). The main function of the Committee is to monitor progress in the various reviews process and to ensure alignment between the district and the local municipality in terms of the framework plan. A series of alignment meetings will be held between the uMgungundlovu District Municipality and its Local Municipalities.

ALIGNMENT AT LOCAL LEVEL

The Municipal Manager / IDP Manager with the support of the IDP Steering Committee will ensure that all the role-players are performing their duties. Performance in terms of expected roles and responsibilities will be monitored at the Steering Committee meetings and corrective measures be taken should there be unsatisfactory performance.

The cross-border alignment with bordering municipalities' i.e. Richmond, Mshwathi, Msunduzi, uMngeni, Mpofana and Impendle Municipality should be strengthened as and when necessary.

ALIGNMENT WITH SERVICE PROVIDERS

Alignment with Service Providers is very essential to ensure that the DM and LM's priorities can be reflected in Service Providers' project prioritization process, as well as to ensure that their projects can be reflected in the IDP documentations. It is anticipated that one Service Provider Forums (SPF's) will be held during this round of the IDP Review as well as a series of one-on-one meetings with key departments.

8. COST ESTIMATES

ESTIMATED COST BREAKDOWN

The municipality has not yet estimated costs that will be incurred for the IDP Development Process due to financial constraints.

Figure 1: Estimated Budget

| IDP PROCESS | | ESTIMATED BUDGET |
|----------------------|--|-----------------------|
| Phases | Activities | |
| Phase 1: Preparing | IDP Process Plan | |
| Phase 2: Analysis | Assessment of existing level of development. Priority issues or problems. Information on causes of priority issues/problems. Information on available resources. | |
| Phase 3: Strategies | The Vision. Objectives. Strategies. Identified Projects. | |
| Phase 4: Projects | Performance indicators. Project outputs, targets, location. Project related activities & time schedule. Cost & budget estimates. | |
| Phase 5: Integration | 5-Year Financial Plan. 5-Year Capital Investment Programme (CIP). Spatial Development framework. Disaster management plan. Consolidated monitoring/Performance Management System. Integrated sectoral programme (LED, HIV, Poverty alleviation, gender equity etc). Reference to sector plans. | |
| Phase 6: Approval | Approval and Adoption of the IDP | |
| Total Costs | IDP ROADSHOWS (Transport) | R 220 000.00 |
| | IDP ROADSHOWS (Contracted Services: Stage and Sound) | R 160 000.00 |
| | IDP ROADSHOWS (Contracted Services: Catering Services) | R 150 000.00 |
| | IDP ROADSHOWS (Hire Charges) e.g. tent, t/shirts, etc | R 40 000.00 |
| | Municipal Strategic Planning Session | R 200 000.00 |
| | Mayoral Imbizo | R 230 000.00 |
| Total Cost | | R 1 000 000.00 |

| | | |
|--|--|--|
| | | |
|--|--|--|

IDP AND SDF PREPARATION

The Mkhambathini SDF is reviewed every 5 years however, a revision is done annually in line with the MEC comments and with the IDP process and IDP plan. Although we have refined the document, there has been no change in the spatial representation of the SDF as a result of the two-year Covid 19 lockdown which has stagnated growth to some degree. The current SDF was approved and adopted in June 2019 and is reviewed annually ,it is due for a full review in FY 2024.

This revision has focused on the following:

- Addressing the MEC for COGTA's comments on the SDF.
- Updating the CIF
- Holding stakeholder engagement with neighbouring municipalities.
- Engaging with the relevant departments on budget alignment process.

Mkhambathini municipality adopted a SPLUMA compliant wall to wall Land Use Scheme in 2020 in line with the municipal Spatial Development Framework. The provision of the Scheme is read with section 40 of the Mkhambathini SPLUMA By-Laws as amended. The Mkhambathini Land Use Scheme establishes the use and development of land within the municipal area. Mkhambathini Local Municipality will comprehensively review its Spatial Development Framework in 2024, with the possible assistance of a grant funding as provided by KZN CoGTA. The municipality will review the SDF externally with the assistance of a Service Provider and this process will run concurrently with the IDP Process.

The success of the Mkhambathini IDP/Budget Review Process Plan will depend on the co-operation of all stakeholders and IDP structures (listed in the Plan) to achieve the developmental objectives in a spirit of cooperative governance. The Mkhambathini Local Municipality will strive to develop an IDP that is credible and all inclusive, ensuring that it is a document that is a true reflection of its Council and Citizens.